

# DARIN WOMBLE

This brochure provides supplemental information about Darin Womble. This supplements the Ark Alliance Financial LLC's ADV Part 2A brochure, which should have also been provided to you. Please contact us at (713) 816-1744 or by email at [darin.womble@arkalliancefinancial.com](mailto:darin.womble@arkalliancefinancial.com) if you have any questions or wish to request a copy of the ADV Part 2A brochure.

Additional information about Ark Alliance Financial is also available at the SEC's website [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) (select "investment adviser firm" and type in our firm name). Results will provide you both Part 1 and 2 of our Form ADV.

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## ITEM 1 – COVER PAGE BROCHURE ADV PART 2 B

OCTOBER 11, 2022

INDIVIDUAL CRD# 5133793  
ARK ALLIANCE FINANCIAL LLC  
3903 WATERWORTH WAY, PEARLAND, TX 77584  
[DARIN.WOMBLE@ARKALLIANCEFINANCIAL.COM](mailto:DARIN.WOMBLE@ARKALLIANCEFINANCIAL.COM)  
(713) 816-1744

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## ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### Darin Womble

Year Born: 1978

#### **Educational Background:**

Bachelor of Business Administration – Baker University, KS (2012)

#### **Business Background:**

08/2020 – Present: *Co-Founder/Managing Member/CCO*, Ark Alliance Financial LLC

09/2019 – 07/2020: *Private Client Advisor*, J.P. Morgan Securities

04/2019 – 08/2019: *Branch Manager/Registered Representative*, TD Ameritrade

07/2015 – 04/2019: *Wealth Management Advisor/Registered Representative*, TIAA-CREF

05/2006 – 07/2015: *Investment Relations Representative*, American Century Investment Services Inc.

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## ITEM 3 – DISCIPLINARY INFORMATION

Darin Womble has no legal or disciplinary events that are material to you or a prospective client's evaluation of this advisory business.

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## ITEM 4 – OTHER BUSINESS ACTIVITIES

The principal business of Darin Womble is that of investment advisor representative and financial planner.

Darin Womble has been a Board Member at Next Generation Academy a pre-school in Pearland, Texas since January 2019. His duties include a monthly meeting to discuss priorities and evaluate the not-for-profit daycare. He spends approximately 3 hours per month in this activity.

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## ITEM 5 – ADDITIONAL COMPENSATION

Other than work with Ark Alliance Financial and any disclosures made in Items 2 and 4 above, Darin Womble receives no additional compensation related to outside business activities.

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## ITEM 6 – SUPERVISION

Darin Womble is the Chief Compliance Officer of Ark Alliance Financial and is the supervising member of the firm. Darin Womble will be supervising his own activities. Darin Womble remains aware of and keeps in compliance with the current rules and regulations put forth by each ruling regulatory authority where we conduct our business. Mr. Womble will adhere to the Firm's Policies and Procedures.

Darin Womble is located at 3903 Waterworth Way, Pearland, TX 77584 and can be reached by calling (713) 816-1744.

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## ITEM 7 - REQUIREMENTS FOR STATE REGISTERED ADVISORS

This disclosure is required by Texas securities authorities and is provided for your use in evaluation this investment adviser representative's suitability.

A. Mr. Womble has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - (a) an investment or an investment-related business or activity; (b) fraud, false statement(s), or omissions;
  - (c) theft, embezzlement, or other wrongful taking of property; (d) bribery, forgery, counterfeiting, or extortion; or
  - (e) dishonest, unfair, or unethical practices.
  
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - (a) an investment or an investment-related business or activity; (b) fraud, false statement(s), or omissions;
  - (c) theft, embezzlement, or other wrongful taking of property; (d) bribery, forgery, counterfeiting, or extortion; or
  - (e) dishonest, unfair, or unethical practices.

B. Mr. Womble was the subject of a bankruptcy petition filed February 9, 2007 and discharged on May 9, 2007.